



Patent  
Attorney Docket No. 033018-113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
Rajiv Gupta et al.

Application No.: 10/654,980

Filing Date: September 5, 2003

Title: AEROSOL GENERATING DEVICES AND METHODS FOR GENERATING AEROSOLS HAVING  
CONTROLLED PARTICLE SIZES

Group Art Unit: 3743

Examiner: Andrea M. Ragonese

Confirmation No.: 5640

**FIFTH  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIFTH Information Disclosure Statement and accompanying form  
PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☒ Charge \$ 180.00 to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By

Laura L. Lee

Registration No. 48,752

Date: September 20, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Rajiv Gupta et al. ) Group Art Unit: 3743  
Application No.: 10/654,980 ) Examiner: Andrea M. Ragonese  
Filed: September 5, 2003 ) Confirmation No.: 5640  
For: AEROSOL GENERATING DEVICES )  
AND METHODS FOR GENERATING )  
AEROSOLS HAVING CONTROLLED )  
PARTICLE SIZES )

**FIFTH INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of the listed U.S. patent is not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

A fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

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02 FC:1806 180.00 DA

**FIFTH** Information Disclosure Statement

Application No. 10/654,980

Attorney's Docket No. 033018-113

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To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date September 20, 2004

By:



Laura L. Lee

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**FOURTH**  
**INFORMATION DISCLOSURE**  
**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

SEP 20 2004

**Complete if Known**

Application Number	10/654,980
Filing Date	September 5, 2003
First Named Inventor	Rajiv Gupta et al.
Examiner Name	Andrea M. Ragonese
Attorney Docket Number	033018-113

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,701,922	B2	Hindle et al.	03/09/2004

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.